

Chapter 2

Anosov and Circle Diffeomorphisms

João P. Almeida, Albert M. Fisher, Alberto A. Pinto and David A. Rand

Abstract We present an infinite dimensional space of C^{1+} smooth conjugacy classes of circle diffeomorphisms that are C^{1+} fixed points of renormalization. We exhibit a one-to-one correspondence between these C^{1+} fixed points of renormalization and C^{1+} conjugacy classes of Anosov diffeomorphisms.

2.1 Introduction

The link between Anosov diffeomorphisms and diffeomorphisms of the circle is due to D. Sullivan and E. Ghys through the observation that the holonomies of Anosov diffeomorphisms give rise to C^{1+} circle diffeomorphisms that are C^{1+} fixed points of renormalization (see also [1]). A. Pinto and D. Rand [21] proved that this observation gives an one-to-one correspondence between the corresponding smooth

João P. Almeida
LIAAD-INESC Porto LA; Departamento de Matemática, Escola Superior de Tecnologia e de Gestão, Instituto Politécnico de Bragança. Campus de Santa Apolónia, Ap. 1134, 5301-857 Bragança, Portugal.
e-mail: jpa@ipb.pt

Albert M. Fisher
Departamento de Matemática, IME-USP, Caixa Postal 66281, CEP 05315-970 São Paulo, Brasil.
e-mail: afisher@ime.usp.br

Alberto A. Pinto
LIAAD-INESC Porto LA; Departamento de Matemática, Faculdade de Ciências da Universidade do Porto, Rua do Campo Alegre, 687, 4169-007, Portugal; Departamento de Matemática e Centro de Matemática da Universidade do Minho, Campus de Gualtar, 4710-057 Braga, Portugal.
e-mail: aapinto1@gmail.com

D. A. Rand
Warwick Systems Biology & Mathematics Institute, University of Warwick, Coventry CV4 7AL, United Kingdom.
e-mail: dar@maths.warwick.ac.uk

conjugacy classes. A key object in this link is the smooth horocycle equipped with a hyperbolic Markov map.

2.2 Circle Diffeomorphisms

Fix a natural number $a \in \mathbb{N}$ and let \mathbb{S} be a *counterclockwise oriented circle* homeomorphic to the circle $\mathbb{S}^1 = \mathbb{R}/(1 + \gamma)\mathbb{Z}$, where $\gamma = (-a + \sqrt{a^2 + 4})/2 = 1/(a + 1/(a + \dots))$. We note that if $a = 1$ then γ is the inverse of the golden number $(1 + \sqrt{5})/2$. A key feature of γ is that it satisfies the relation $a\gamma + \gamma^2 = 1$.

An *arc* in \mathbb{S} is the image of a non trivial interval I in \mathbb{R} by a homeomorphism $\alpha : I \rightarrow \mathbb{S}$. If I is closed (resp. open) we say that $\alpha(I)$ is a *closed* (resp. *open*) arc in \mathbb{S} . We denote by (a, b) (resp. $[a, b]$) the positively oriented open (resp. closed) arc in \mathbb{S} starting at the point $a \in \mathbb{S}$ and ending at the point $b \in \mathbb{S}$. A C^{1+} atlas \mathcal{A} of \mathbb{S} is a set of charts such that (i) every small arc of \mathbb{S} is contained in the domain of some chart in \mathcal{A} , and (ii) the overlap maps are $C^{1+\alpha}$ compatible, for some $\alpha > 0$.

A C^{1+} *circle diffeomorphism* is a triple $(g, \mathbb{S}, \mathcal{A})$ where $g : \mathbb{S} \rightarrow \mathbb{S}$ is a $C^{1+\alpha}$ diffeomorphism, with respect to the $C^{1+\alpha}$ atlas \mathcal{A} , for some $\alpha > 0$, and g is quasi-symmetric conjugate to the rigid rotation $r_\gamma : \mathbb{S}^1 \rightarrow \mathbb{S}^1$, with rotation number equal to $\gamma/(1 + \gamma)$. We denote by \mathcal{F} the set of all C^{1+} circle diffeomorphisms $(g, \mathbb{S}, \mathcal{A})$, with respect to a C^{1+} atlas \mathcal{A} in \mathbb{S} .

In order to simplify the notation, we will denote the C^{1+} circle diffeomorphism $(g, \mathbb{S}, \mathcal{A})$ only by g .

2.2.1 The Horocycle and Renormalization

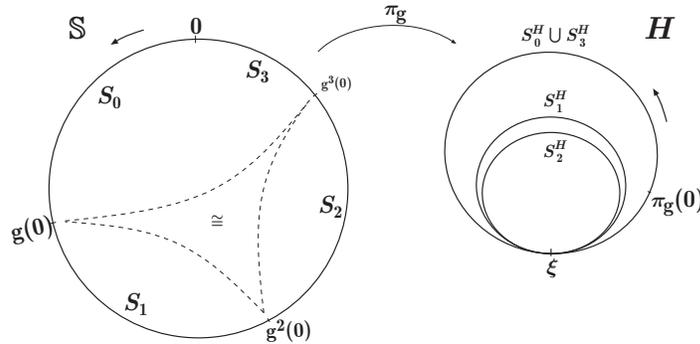


Fig. 2.1 The horocycle H for the case $a = 2$. The junction ξ of the horocycle is equal to $\xi = \pi_g(g(0)) = \pi_g(g^2(0)) = \pi_g(g^3(0))$.

Let us mark a point in \mathbb{S} that we will denote by $0 \in \mathbb{S}$, from now on. Let $S_0 = [0, g(0)]$ be the oriented closed arc in \mathbb{S} , with endpoints 0 and $g(0)$. For $k = 0, \dots, a$ let $S_k = [g^k(0), g^{k+1}(0)]$ be the oriented closed arc in \mathbb{S} , with endpoints $g^k(0)$ and $g^{k+1}(0)$ and such that $S_k \cap S_{k-1} = \{g^k(0)\}$. Let $S_{a+1} = [g^{a+1}(0), 0]$ be the oriented closed arc in \mathbb{S} , with endpoints $g^{a+1}(0)$ and 0 . We introduce an *equivalence relation* \sim in \mathbb{S} by identifying the $a + 1$ points $g(0), \dots, g^{a+1}(0)$ and form the oriented topological space $H(\mathbb{S}, g) = \mathbb{S} / \sim$. We call this oriented topological space the *horocycle* (see Figure 2.1) and we denote it by $H = H(\mathbb{S}, g)$. We consider the quotient topology in H . Let $\pi_g : \mathbb{S} \rightarrow H$ be the natural projection. The point $\xi = \pi_g(g(0)) = \dots = \pi_g(g^{a+1}(0)) \in H$ is called the *junction* of the horocycle H . For $k = 0, \dots, a + 1$, let $S_k^H = S_k^H(\mathbb{S}, g) \subset H$ be the projection by π_g of the closed arc S_k . A *parametrization* in H is the image of a non trivial interval I in \mathbb{R} by a homeomorphism $\alpha : I \rightarrow H$. If I is closed (resp. open) we say that $\alpha(I)$ is a *closed* (resp. *open*) arc in H . A *chart* in H is the inverse of a parametrization. A *topological atlas* \mathcal{B} on the horocycle H is a set of charts $\{(j, J)\}$, on the horocycle, with the property that every small arc is contained in the domain of a chart in \mathcal{B} , i.e. for any open arc K in H and any $x \in K$ there exists a chart $\{(j, J)\} \in \mathcal{B}$ such that $J \cap K$ is a non trivial open arc in H and $x \in J \cap K$. A $C^{1+\alpha}$ *atlas* \mathcal{B} in H is a topological atlas \mathcal{B} such that the overlap maps are $C^{1+\alpha}$ and have $C^{1+\alpha}$ uniformly bounded norms, for some $\alpha > 0$.

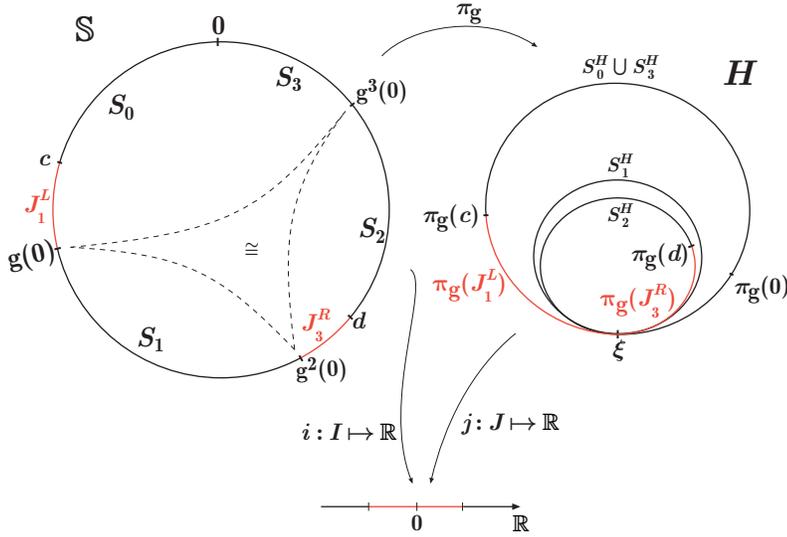


Fig. 2.2 The chart $j : J \rightarrow \mathbb{R}$ in case (ii).

Let \mathcal{A} be a C^{1+} atlas in \mathbb{S} for which g is C^{1+} . We are going to construct a C^{1+} atlas \mathcal{A}^H in the horocycle that is the *extended pushforward* $\mathcal{A}^H = (\pi_g)_* \mathcal{A}$ of the atlas \mathcal{A} in \mathbb{S} .

If $x \in H \setminus \{\xi\}$ then there exists a sufficiently small open arc J in H , containing x , such that $\pi_g^{-1}(J)$ is contained in the domain of some chart (I, i) in \mathcal{A} . In this case, we define $(J, i \circ \pi_g^{-1})$ as a chart in \mathcal{A}^H . If $x = \xi$ and J is a small arc containing ξ , then either (i) $\pi_g^{-1}(J)$ is an arc in \mathbb{S} or (ii) $\pi_g^{-1}(J)$ is a disconnected set that consists of a union of two connected components. In case (i), $\pi_g^{-1}(J)$ is connected and we define $(J, i \circ \pi_g^{-1})$ as a chart in \mathcal{A}^H . In case (ii), $\pi_g^{-1}(J)$ is a disconnected set that is the union of two connected arcs J_k^L and J_l^R of the form $J_l^R = [g^l(0), d)$ and $J_k^L = (c, g^k(0)]$, respectively, for some $k, l \in \{0, \dots, a+1\}$ with $k \neq l$ (see Figure 2.2). Let $(I, i) \in \mathcal{A}$ be a chart such that $I \supset (c, d)$. We define $j : J \rightarrow \mathbb{R}$ as follows,

$$j(x) = \begin{cases} i \circ \pi_g^{-1}(x), & \text{if } x \in \pi_g([g^l(0), d)) \\ i \circ g^{l-k} \circ \pi_g^{-1}(x), & \text{if } x \in \pi_g((c, g^k(0)]) \end{cases}.$$

We call the atlas determined by these charts, the *extended pushforward atlas of \mathcal{A}* and, by abuse of notation, we will denote it by $\mathcal{A}^H = (\pi_g)_* \mathcal{A}$.

Let $g = (g, \mathbb{S}, \mathcal{A})$ be a C^{1+} circle diffeomorphism with respect to a C^{1+} atlas \mathcal{A} in \mathbb{S} . Let $R\mathbb{S} = S_0^H \cup S_{a+1}^H$ be projection by π_g of the oriented close arc $S_0 \cup S_{a+1}$ of \mathbb{S} . Let $i_{R\mathbb{S}} : S_0 \cup S_{a+1} \subset \mathbb{S} \rightarrow R\mathbb{S}$ be the natural inclusion and let $R\mathcal{A}$ be the restriction, $\mathcal{A}|_{R\mathbb{S}}$, of the C^{1+} atlas \mathcal{A} to $R\mathbb{S}$. The *renormalization of $g = (g, \mathbb{S}, \mathcal{A})$* is the triple $(Rg, R\mathbb{S}, R\mathcal{A})$, where $Rg : R\mathbb{S} \rightarrow R\mathbb{S}$ is the map given by (see Figure 2.3),

$$\begin{cases} i_{R\mathbb{S}} \circ g^{a+1} = Rg \circ i_{R\mathbb{S}}, & \text{for } Rg|_{i_{R\mathbb{S}}(S_0)}, \\ i_{R\mathbb{S}} \circ g = Rg \circ i_{R\mathbb{S}}, & \text{for } Rg|_{i_{R\mathbb{S}}(S_{a+1})} \end{cases}$$

For simplicity of notation, we will denote the renormalization of a C^{1+} circle diffeomorphism g , $(Rg, R\mathbb{S}, R\mathcal{A})$, only by Rg .

We recall that \mathcal{F} denotes the set of all C^{1+} circle diffeomorphisms $(g, \mathbb{S}, \mathcal{A})$ with respect to a C^{1+} atlas \mathcal{A} in \mathbb{S} .

Lemma 2.1. *The renormalization Rg of a C^{1+} circle diffeomorphism $g \in \mathcal{F}$ is a C^{1+} circle diffeomorphism, i.e. the map $R : \mathcal{F} \rightarrow \mathcal{F}$ given by $R(g) = Rg$ is well defined. In particular, the renormalization Rr_γ of the rigid rotation is the rigid rotation r_γ .*

The proof of Lemma 2.1 is in [21].

The marked point $0 \in \mathbb{S}$ determines a marked point 0 in the circle $R\mathbb{S}$. Since Rg is homeomorphic to a rigid rotation, there exists $h : \mathbb{S} \rightarrow R\mathbb{S}$, with $h(0) = 0$, such that h conjugates g and Rg .

Definition 2.1. If $h : \mathbb{S} \rightarrow R\mathbb{S}$ is C^{1+} , we call g a C^{1+} *fixed point of renormalization*. We will denote by \mathcal{R} the set of all C^{1+} circle diffeomorphisms $g \in \mathcal{F}$ that are C^{1+} fixed points of renormalization.

We note that the rigid rotation r_γ , with respect to the atlas \mathcal{A}_{iso} , is an affine fixed point of renormalization. Hence, $r_\gamma \in \mathcal{R}$.

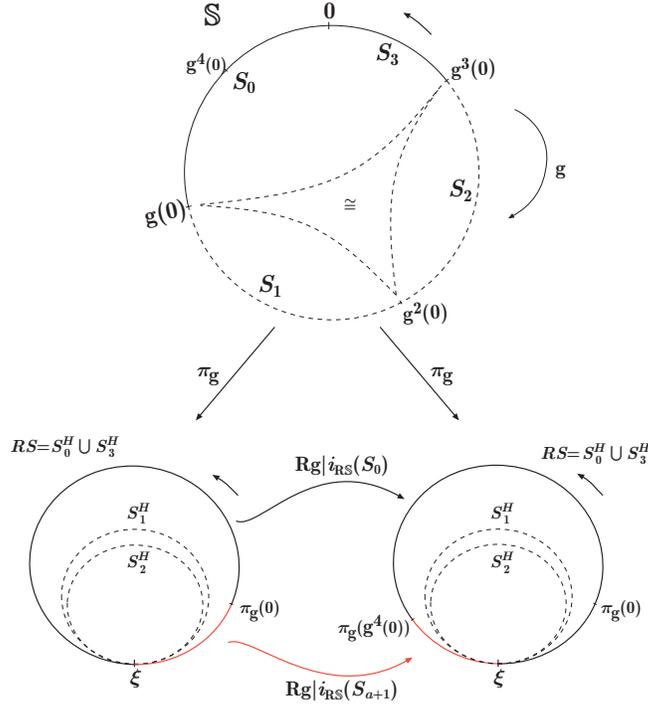


Fig. 2.3 The renormalization $Rg = (Rg, RS, R\mathcal{A})$ of a C^{1+} circle diffeomorphism $g = (g, \mathbb{S}, \mathcal{A})$.

2.2.2 Markov Maps

Let $g = (g, \mathbb{S}, \mathcal{A})$ be a C^{1+} circle diffeomorphism, with respect to a C^{1+} atlas \mathcal{A} , and $Rg = (Rg, R\mathbb{S}, R\mathcal{A})$ its renormalization. Let $H = H(\mathbb{S}, g)$ and $RH = H(R\mathbb{S}, Rg)$ be the horocycles determined by the C^{1+} circle diffeomorphisms g and Rg , respectively. Let \mathcal{A}^H and \mathcal{A}^{RH} be the atlas in the horocycles H and RH , that are the extended pushforwards of the atlases \mathcal{A} and $R\mathcal{A}$, respectively. Let $i_H : \mathbb{S} \rightarrow H$ be the natural inclusion.

Let $h : \mathbb{S} \rightarrow R\mathbb{S}$ be the homeomorphism that conjugates g and Rg sending the marked point 0 of g in the marked point 0 of Rg .

Definition 2.2. The *Markov map* M_g associated to $g \in \mathcal{F}$ is the map $M_g : H \rightarrow H$ defined by

$$M_g(x) = \begin{cases} i_H \circ h^{-1} \circ i_{RS} \circ i_H^{-1}(x), & \text{if } x \in i_H(S_0 \cup S_{a+1}) \\ i_H \circ h^{-1} \circ i_{RS} \circ g^{-k} \circ i_H^{-1}(x), & \text{if } x \in i_H(S_k), k = 1, \dots, a \end{cases}$$

We observe that, in particular, the *rigid Markov map* M_{r_g} is an affine map with respect to the atlas \mathcal{A}_{iso}^H . Noting that $h(g^4(0)) = (Rg)^2(0) = g^2$ then M_g is as rep-

resented in Figure 2.4. We observe that the identification in H of $g(0)$ with $g^2(0)$ makes the Markov map M_g a local homeomorphism.

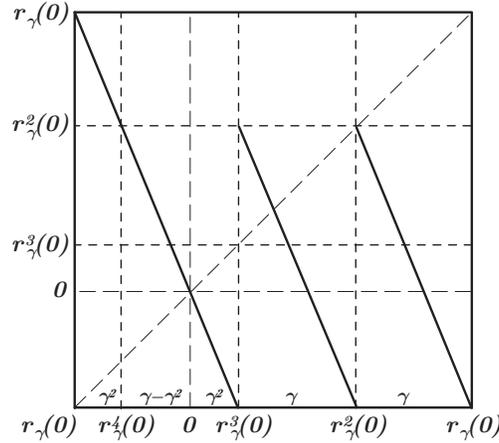


Fig. 2.4 The Markov map M_{r_γ} with respect to the atlas \mathcal{A}_{iso}^H .

Lemma 2.2. *Let $g \in \mathcal{F}$. The Markov map M_g associated to g is a C^{1+} local diffeomorphism with respect to the atlas $\mathcal{A}^H = (\pi_g)_* \mathcal{A}$ if, and only if, the diffeomorphism g is a fixed point of renormalization.*

The proof of Lemma 2.2 is in [21].

2.3 Anosov Diffeomorphisms

Fix a positive integer $a \in \mathbb{N}$ and consider the *Anosov automorphism* $G_a : \mathbb{T} \rightarrow \mathbb{T}$ given by $G_a(x, y) = (ax + y, x)$, where \mathbb{T} is equal to $\mathbb{R}^2 / (v\mathbb{Z} \times w\mathbb{Z})$ with $v = (\gamma, 1)$ and $w = (-1, \gamma)$. Let $\pi : \mathbb{R}^2 \rightarrow \mathbb{T}$ be the natural projection. Let A_0 and B_0 be the rectangles $[0, 1] \times [0, 1]$ and $[-\gamma, 0] \times [0, \gamma]$ respectively. A Markov partition \mathcal{M}_{G_a} of G_a is given by $\mathbf{A} = \pi(A_0)$ and $\mathbf{B} = \pi(B_0)$ (see Figure 2.5). The unstable manifolds of G_a are the projection by π of the vertical lines in the plane, and the stable manifolds of G_a are the projection by π of the horizontal lines in the plane.

A C^{1+} *Anosov diffeomorphism* $G : \mathbb{T} \rightarrow \mathbb{T}$ is a $C^{1+\alpha}$ diffeomorphism, with $\alpha > 0$, such that (i) G is topologically conjugate to G_a ; (ii) the tangent bundle has a $C^{1+\alpha}$ uniformly hyperbolic splitting into a stable direction and an unstable direction (see [39]). We denote by \mathcal{G} the set of all such C^{1+} Anosov diffeomorphisms with an invariant measure absolutely continuous with respect to the Lebesgue measure.

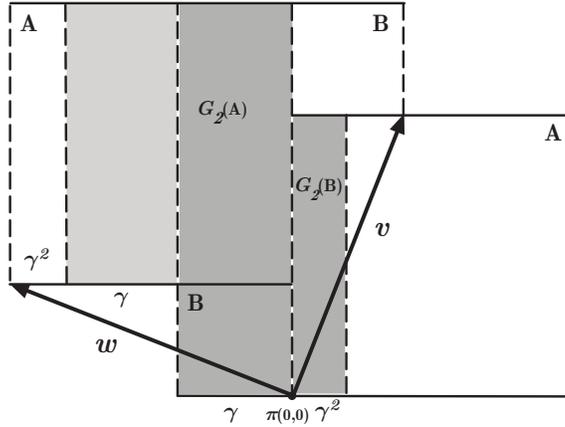


Fig. 2.5 The Anosov automorphism $G_2 : \mathbb{T} \rightarrow \mathbb{T}$.

If h is the topological conjugacy between G_a and G , then a Markov partition \mathcal{M}_G of G is given by $h(\mathbf{A})$ and $h(\mathbf{B})$. Let $d = d_\rho$ be the distance on the torus \mathbb{T} , determined by a Riemannian metric ρ . We define the map $G_t = G$ if $t = u$, or $G_t = G^{-1}$ if $t = s$. For $t \in \{s, u\}$ and $x \in \mathbb{T}$, we denote the local t -manifolds through x by

$$W^t(x, \varepsilon) = \{y \in \mathbb{T} : d(G_t^{-n}(x), G_t^{-n}(y)) \leq \varepsilon, \text{ for all } n \geq 0\}.$$

By the Stable Manifold Theorem (see [39]), these sets are respectively contained in the stable and unstable immersed manifolds

$$W^t(x) = \bigcup_{n \geq 0} G_t^n(W^t(G_t^{-n}(x), \varepsilon_0))$$

which are the image of $C^{1+\alpha}$ immersions $\kappa_{t,x} : \mathbb{R} \rightarrow \mathbb{T}$, for some $0 < \alpha \leq 1$ and some small $\varepsilon_0 > 0$. An *open* (resp. *closed*) t -leaf segment I is defined as a subset of $W^t(x)$ of the form $\kappa_{t,x}(I_1)$ where I_1 is an open (resp. closed) subinterval (non-empty) in \mathbb{R} . An t -leaf segment is either an open or closed t -leaf segment. The *endpoints* of an t -leaf segment $I = \kappa_{t,x}(I_1)$ are the points $\kappa_{t,x}(u)$ and $\kappa_{t,x}(v)$ where u and v are the endpoints of I_1 . The *interior* of an t -leaf segment I is the complement of its boundary. A map $c : I \rightarrow \mathbb{R}$ is an t -leaf chart of an t -leaf segment I if c is a homeomorphism onto its image.

2.3.1 Spanning Leaf Segments

One can find a small enough $\varepsilon_0 > 0$, such that for every $0 < \varepsilon < \varepsilon_0$ there is $\delta = \delta(\varepsilon) > 0$ with the property that, for all points $w, z \in \mathbb{T}$ with $d(w, z) < \delta$, $W^u(w, \varepsilon)$ and $W^s(z, \varepsilon)$ intersect in a unique point that we denote by

$$[w, z] = W^u(w, \varepsilon) \cap W^s(z, \varepsilon).$$

A *rectangle* R is a subset of \mathbb{T} which is (i) closed under the bracket, i.e. $x, y \in R \Rightarrow [x, y] \in R$, and (ii) proper, i.e. it is the closure of its interior in \mathbb{T} . If ℓ^u and ℓ^s are respectively unstable and stable closed leaf segments intersecting in a single point then we denote by $[\ell^u, \ell^s]$ the set consisting of all points of the form $[w, z]$ with $w \in \ell^u$ and $z \in \ell^s$. We note that $[\ell^u, \ell^s]$ is a rectangle. Conversely, given a rectangle R , for each $x \in R$ there are closed unstable and stable leaf segments of \mathbb{T} , $\ell^u(x, R) \subset W^u(x)$ and $\ell^s(x, R) \subset W^s(x)$ such that $R = [\ell^u(x, R), \ell^s(x, R)]$. The leaf segments $\ell^u(x, R)$ and $\ell^s(x, R)$ are called, respectively, *unstable* and *stable spanning leaf segments*.

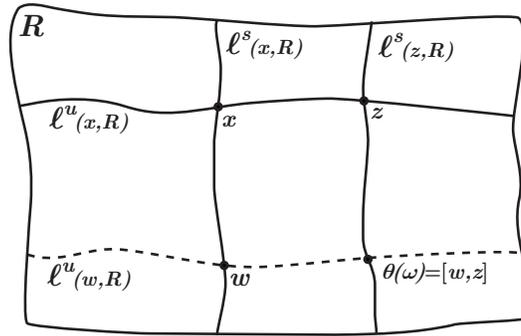


Fig. 2.6 A basic stable holonomy $\theta : \ell^u(x, R) \rightarrow \ell^u(z, R)$.

2.3.2 Basic Holonomies

Suppose that x and z are two points inside any rectangle R of \mathbb{T} . Let $\ell^s(x, R)$ and $\ell^s(z, R)$ be two stable spanning leaf segments of R containing, respectively, x and z . We define the map $\theta : \ell^s(x, R) \rightarrow \ell^s(z, R)$ by $\theta(w) = [w, z]$ (see Figure 2.6). Such maps are called the *basic stable holonomies*. They generate the pseudo-group of all stable holonomies. Similarly, we can define the *basic unstable holonomies*.

2.3.3 Lamination Atlas

The *stable lamination atlas* $\mathcal{L}^s = \mathcal{L}^s(G, \rho)$, determined by a Riemannian metric ρ , is the set of all maps $e : I \rightarrow \mathbb{R}$, where e is an isometry between the induced Riemannian metric on the stable leaf segment I and the Euclidean metric on the reals. We call the maps $e \in \mathcal{L}^s$ the *stable lamination charts*. Similarly, we can define the *unstable lamination atlas* $\mathcal{L}^u = \mathcal{L}^u(G, \rho)$. By Theorem 2.1 in [27], the basic unstable and stable holonomies are C^{1+} with respect to the lamination atlas \mathcal{L}^s .

2.3.4 Circle Diffeomorphisms

Let $G \in \mathcal{G}$ be a C^{1+} Anosov diffeomorphism with an invariant measure absolutely continuous with respect to the Lebesgue measure and topologically conjugate to the Anosov automorphism G_a by the homeomorphism h . For each Markov rectangle R , let t_R^s be the set of all unstable spanning leaf segments of R . Thus, by the local product structure, one can identify t_R^s with any stable spanning leaf segment $\ell^s(x, R)$ of R . We form the space \mathbb{S}_G by taking the disjoint union $t_{h(\mathbf{A})}^s \sqcup t_{h(\mathbf{B})}^s$, where $h(\mathbf{A})$ and $h(\mathbf{B})$ are the Markov rectangles of the Markov partition \mathcal{M}_G , and identifying two points $I \in t_R^s$ and $J \in t_{R'}^s$ if (i) $R \neq R'$, (ii) the unstable leaf segments I and J are unstable boundaries of Markov rectangles, and (iii) $\text{int}(I \cap J) \neq \emptyset$. Topologically, the space \mathbb{S}_G is a *counterclockwise oriented circle*. Let $\pi_{\mathbb{S}_G} : \bigsqcup_{R \in \mathcal{M}_G} R \rightarrow \mathbb{S}_G$ be the natural projection sending $x \in R$ to the point $\ell^u(x, R)$ in \mathbb{S}_G .

Let $I_{\mathbb{S}}$ be an arc of \mathbb{S}_G and I a leaf segment such that $\pi_{\mathbb{S}_G}(I) = I_{\mathbb{S}}$. The chart $i : I \rightarrow \mathbb{R}$ in $\mathcal{L} = \mathcal{L}^s(G, \rho)$ determines a *circle chart* $i_{\mathbb{S}} : I_{\mathbb{S}} \rightarrow \mathbb{R}$ for $I_{\mathbb{S}}$ given by $i_{\mathbb{S}} \circ \pi_{\mathbb{S}_G} = i$. We denote by $\mathcal{A}_G = \mathcal{A}(G, \rho)$ the set of all circle charts $i_{\mathbb{S}}$ determined by charts i in $\mathcal{L} = \mathcal{L}^s(G, \rho)$. Given any circle charts $i_{\mathbb{S}} : I_{\mathbb{S}} \rightarrow \mathbb{R}$ and $j_{\mathbb{S}} : J_{\mathbb{S}} \rightarrow \mathbb{R}$, the overlap map $j_{\mathbb{S}} \circ i_{\mathbb{S}}^{-1} : i_{\mathbb{S}}(I_{\mathbb{S}} \cap J_{\mathbb{S}}) \rightarrow j_{\mathbb{S}}(I_{\mathbb{S}} \cap J_{\mathbb{S}})$ is equal to $j_{\mathbb{S}} \circ i_{\mathbb{S}}^{-1} = j \circ \theta \circ i^{-1}$, where $i = i_{\mathbb{S}} \circ \pi_{\mathbb{S}_G} : I \rightarrow \mathbb{R}$ and $j = j_{\mathbb{S}} \circ \pi_{\mathbb{S}_G} : J \rightarrow \mathbb{R}$ are charts in \mathcal{L} , and

$$\theta : i^{-1}(i_{\mathbb{S}}(I_{\mathbb{S}} \cap J_{\mathbb{S}})) \rightarrow j^{-1}(j_{\mathbb{S}}(I_{\mathbb{S}} \cap J_{\mathbb{S}}))$$

is a basic stable holonomy. By Theorem 2.1 in [27], there exists $\alpha > 0$ such that, for all circle charts $i_{\mathbb{S}}$ and $j_{\mathbb{S}}$ in \mathcal{A}_G , the overlap maps $j_{\mathbb{S}} \circ i_{\mathbb{S}}^{-1} = j \circ \theta \circ i^{-1}$ are $C^{1+\alpha}$ diffeomorphisms with a uniform bound in the $C^{1+\alpha}$ norm. Hence, $\mathcal{A}_G = \mathcal{A}(G, \rho)$ is a C^{1+} atlas.

Suppose that I and J are stable leaf segments and $\theta : I \rightarrow J$ is a holonomy map such that, for every $x \in I$, the unstable leaf segments with endpoints x and $\theta(x)$ cross once, and only once, a stable boundary of a Markov rectangle. We define the *arc rotation map* $\tilde{\theta}_G : \pi_{\mathbb{S}_G}(I) \rightarrow \pi_{\mathbb{S}_G}(J)$, associated to θ , by $\tilde{\theta}_G(\pi_{\mathbb{S}_G}(x)) = \pi_{\mathbb{S}_G}(\theta(x))$ (see Figure 2.7). By Theorem 2.1 in [27] there exists $\alpha > 0$ such that the holonomy $\theta : I \rightarrow J$ is a $C^{1+\alpha}$ diffeomorphism, with respect to the C^{1+} lamination atlas $\mathcal{L}^s(G, \rho)$. Hence, the arc rotation maps $\tilde{\theta}_G$ are C^{1+} diffeomorphisms, with respect to the C^{1+} atlas $\mathcal{A}(G, \rho)$.

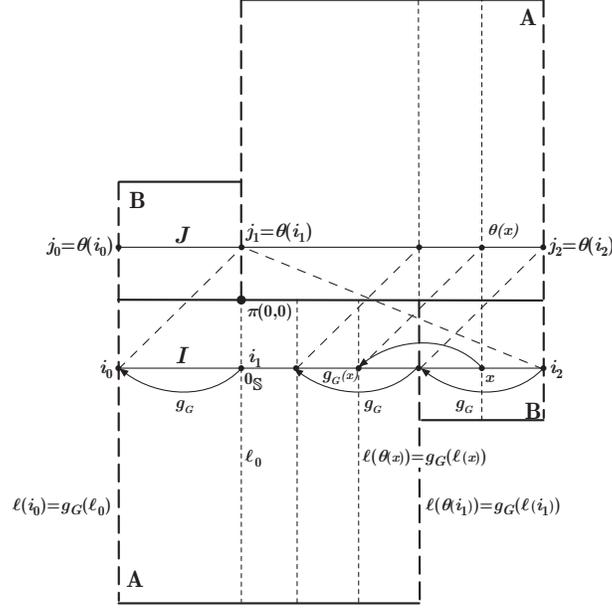


Fig. 2.7 The arc rotation map $g_G = \tilde{\theta}_G : \pi_{\mathbb{S}_G}(I) \rightarrow \pi_{\mathbb{S}_G}(J)$. We note that $\mathbb{S} = \pi_{\mathbb{S}_G}(I) = \pi_{\mathbb{S}_G}(J)$ and $\ell(x) = \pi_{\mathbb{S}_G}(x)$ is the unstable spanning leaf segment containing x .

Lemma 2.3. *There is a well-defined C^{1+} circle diffeomorphism g_G , with respect to the C^{1+} atlas $\mathcal{A}_G = \mathcal{A}(G, \rho)$, such that $g_G|_{\pi_{\mathbb{S}_G}(I)} = \tilde{\theta}_G$, for every arc rotation map $\tilde{\theta}_G$. In particular, if G_a is the Anosov automorphism, then g_{G_a} is the rigid rotation r_γ , with respect to the isometric atlas $\mathcal{A}_{iso} = \mathcal{A}(G_a, E)$, where E corresponds to the Euclidean metric in the plane.*

The proof of Lemma 2.3 is in [21].

2.3.5 Train-Tracks and Markov Maps

Roughly speaking, train-tracks are the optimal leaf-quotient spaces on which the stable and unstable Markov maps induced by the action of G on leaf segments are local homeomorphisms.

Let $G \in \mathcal{G}$ be a C^{1+} Anosov diffeomorphism. Let h be the homeomorphism that conjugates G with G_a . We recall that, for each Markov rectangle R , t_R^s denotes the set of all unstable spanning leaf segments of R and, by the local product structure, one can identify t_R^s with any stable spanning leaf segment $\ell^s(x, R)$ of R . We form the space \mathbf{T}_G by taking the disjoint union $t_{h(\mathbf{A})}^s \sqcup t_{h(\mathbf{B})}^s$, where $h(\mathbf{A})$ and $h(\mathbf{B})$ are the

Markov rectangles of the Markov partition \mathcal{M}_G and identifying two points $I \in t_R^s$ and $J \in t_{R'}^s$ if (i) the unstable leaf segments I and J are unstable boundaries of Markov rectangles and (ii) $\text{int}(I \cap J) = \emptyset$. This space is called the *stable train-track* and it is denoted by \mathbf{T}_G .

Let $\pi_{\mathbf{T}_G} : \bigsqcup_{R \in \mathcal{M}_G} R \rightarrow \mathbf{T}_G$ be the natural projection sending the point $x \in R$ to the point $\ell^u(x, R)$ in \mathbf{T}_G . A *topologically regular point* I in \mathbf{T}_G is a point with a unique preimage under $\pi_{\mathbf{T}_G}$ (i.e. the preimage of I is not a union of distinct unstable boundaries of Markov rectangles). If a point has more than one preimage by $\pi_{\mathbf{T}_G}$, then we call it a *junction*. Hence, there is only one junction.

A chart $i : I \rightarrow \mathbb{R}$ in $\mathcal{L} = \mathcal{L}^s(G, \rho)$ determines a *train-track chart* $i_T : I_T \rightarrow \mathbb{R}$ for I_T given by $i_T \circ \pi_{\mathbf{T}_G} = i$. We denote by $\mathcal{A}^{\mathbf{T}_G} = \mathcal{A}^{\mathbf{T}_G}(G, \rho)$ the set of all train-track charts i_T determined by charts i in $\mathcal{L} = \mathcal{L}^s(G, \rho)$. Given any train-track charts $i_T : I_T \rightarrow \mathbb{R}$ and $j_T : J_T \rightarrow \mathbb{R}$ in $\mathcal{A}^{\mathbf{T}_G}$, the overlap map $j_T \circ i_T^{-1} : i_T(I_T \cap J_T) \rightarrow j_T(I_T \cap J_T)$ is equal to $j_T \circ i_T^{-1} = j \circ \theta \circ i^{-1}$, where $i = i_T \circ \pi_{\mathbf{T}_G} : I \rightarrow \mathbb{R}$ and $j = j_T \circ \pi_{\mathbf{T}_G} : J \rightarrow \mathbb{R}$ are charts in \mathcal{L} , and

$$\theta : i^{-1}(i_T(I_T \cap J_T)) \rightarrow j^{-1}(j_T(I_T \cap J_T))$$

is a basic stable holonomy. By Theorem 2.1 in [27] there exists $\alpha > 0$ such that, for all train-track charts i_T and j_T in $\mathcal{A}^{\mathbf{T}_G}(G, \rho)$, the overlap maps $j_T \circ i_T^{-1} = j \circ \theta \circ i^{-1}$ have $C^{1+\alpha}$ diffeomorphic extensions with a uniform bound in the $C^{1+\alpha}$ norm. Hence, $\mathcal{A}^{\mathbf{T}_G}(G, \rho)$ is a $C^{1+\alpha}$ atlas in \mathbf{T}_G .

The (stable) *Markov map* $M_G : \mathbf{T}_G \rightarrow \mathbf{T}_G$ is the mapping induced by the action of G on unstable spanning leaf segments, that it is defined as follows: if $I \in \mathbf{T}_G$, $M_G(I) = \pi_{\mathbf{T}_G}(G(I))$ is the unstable spanning leaf segment containing $G(I)$. This map M_G is a local homeomorphism because G sends short stable leaf segments homeomorphically onto short stable leaf segments.

A *stable leaf primary cylinder of a Markov rectangle* R is a stable spanning leaf segment of R . For $n \geq 1$, a *stable leaf n -cylinder of R* is a stable leaf segment I such that (i) $G^n I$ is a stable leaf primary cylinder of a Markov rectangle $R'(I) \in \mathcal{M}_G$; (ii) $G^n(\ell^u(x, R)) \subset R'(I)$ for every $x \in I$, where $\ell^u(x, R)$ is an unstable spanning leaf segment of R .

For $n \geq 1$, an *n -cylinder* is the projection into \mathbf{T}_G of a stable leaf n -cylinder segment. Thus, each Markov rectangle in \mathbb{T} projects in a unique primary stable leaf segment in \mathbf{T}_G .

Given a topological chart (e, U) on the train-track \mathbf{T}_G and a train-track segment $C \subset U$, we denote by $|C|_e$ the length of $e(C)$. We say that M_G has *bounded geometry* in a C^{1+} atlas \mathcal{A} , if there is $\kappa_1 > 0$ such that, for every n -cylinder C_1 and n -cylinder C_2 with a common endpoint with C_1 , we have $\kappa_1^{-1} < |C_1|_e / |C_2|_e < \kappa_1$, where the lengths are measured in any chart (e, U) of the atlas such that $C_1 \cup C_2 \subset U$. We note that M_G has bounded geometry, with respect to a C^{1+} atlas \mathcal{A} , if, and only if, there are $\kappa_2 > 0$ and $0 < \nu < 1$ such that $|C|_e \leq \kappa_2 \nu^n$, for every n -cylinder and every $e \in \mathcal{A}$.

By Section 4.3 in Pinto-Rand [25], we obtain that M_G is C^{1+} and has *bounded geometry* in $\mathcal{A}^{\mathbf{T}_G}(G, \rho)$. In [21] it is proved that M_G is the Markov map M_{g_G} , as in

Definition 2.2. Hence, g_G is a fixed point of renormalization. Furthermore, in [21] it is proved that the map $G \rightarrow g_G$ induces an one-to-one correspondence between C^{1+} conjugacy classes of Anosov diffeomorphisms with an invariant measure absolutely continuous with respect to the Lebesgue measure and C^{1+} conjugacy classes of circle diffeomorphisms that are fixed points of renormalization.

We recall that \mathcal{G} denotes the set of C^{1+} Anosov diffeomorphisms G that are topologically conjugate to the linear Anosov automorphism G_a and have an invariant measure absolutely continuous with respect to the Lebesgue measure.

Theorem 2.1. *For every $G \in \mathcal{G}$, the C^{1+} circle diffeomorphism g_G is a C^{1+} fixed point of renormalization, with respect to the C^{1+} atlas $\mathcal{A}_G = \mathcal{A}(G, \rho)$.*

The proof of Theorem 2.1 is in [21].

Acknowledgments

Previous versions of this work were presented in the International Congresses of Mathematicians ICM 2006 and 2010, EURO 2010, ICDEA 2009 and in the celebration of David Rand's 60th birthday, achievements and influence, University of Warwick. We are grateful to Dennis Sullivan and Flávio Ferreira for a number of very fruitful and useful discussions on this work and for their friendship and encouragement. We thank LIAAD-INESC Porto LA, Calouste Gulbenkian Foundation, PRODYN-ESF, POCTI and POSI by FCT and Ministério da Ciência e da Tecnologia, and the FCT Pluriannual Funding Program of the LIAAD-INESC Porto LA and of the Research Centre of Mathematics of University of Minho, for their financial support. A. Fisher would like to thank FAPESP, the CNPQ and the CNRS for their financial support.

References

1. Cawley, E.: The Teichmüller space of an Anosov diffeomorphism of T^2 . *Inventiones Mathematicae*, **112**, 351–376 (1993).
2. Coulet, P. and Tresser, C.: Itération d'endomorphismes et groupe de renormalisation. *J. de Physique*, C5 25 (1978).
3. de Faria, E., de Melo, W. and Pinto, A. A.: Global hyperbolicity of renormalization for C^r unimodal mappings. *Annals of Mathematics*, 164 731-824 (2006).
4. Feigenbaum, M.: Quantitative universality for a class of nonlinear transformations. *J. Stat. Phys.*, 19 25-52 (1978).
5. Feigenbaum, M.: The universal metric properties of nonlinear transformations. *J. Stat. Phys.*, 21 669-706 (1979).
6. Ferreira, F. and Pinto, A. A.: Explosion of smoothness from a point to everywhere for conjugacies between diffeomorphisms on surfaces *Ergod. Th. & Dynam. Sys.*, 23 509-517 (2003).
7. Ferreira, F., Pinto, A. A., Rand, D. A., Hausdorff dimension versus smoothness. In Vasile Staicu (ed.): *Differential Equations Chaos and Variational Problems*. Nonlinear Differential Equations and Their Applications, 75 195-209 (2007).

8. Franks, J.: Anosov diffeomorphisms. In: Smale, S. (ed) Global Analysis. **14** AMS Providence, 61–93 (1970).
9. Ghys, E.: Rigidité différentiable des groupes Fuchsien. Publ. IHES **78**, 163–185 (1993).
10. Jiang, Y., Metric invariants in dynamical systems. *Journal of Dynamics and Differentiable Equations*, 17 1 5171 (2005).
11. Lanford, O., Renormalization group methods for critical circle mappings with general rotation number. VIIIth International Congress on Mathematical Physics. *World Sci. Publishing*, Singapore, 532-536 (1987).
12. de la Llave, R.: Invariants for Smooth conjugacy of hyperbolic dynamical systems II. *Commun. Math. Phys.*, **109** 3, 369–378 (1987).
13. Manning, A.: There are no new Anosov diffeomorphisms on tori. *Amer. J. Math.*, **96**, 422 (1974).
14. Marco, J.M., Moriyo, R.: Invariants for Smooth conjugacy of hyperbolic dynamical systems I. *Commun. Math. Phys.*, **109**, 681–689 (1987).
15. Marco, J.M., Moriyo, R.: Invariants for Smooth conjugacy of hyperbolic dynamical systems III. *Commun. Math. Phys.*, **112**, 317–333 (1987).
16. de Melo, W.: Review of the book *Fine structure of hyperbolic diffeomorphisms*, by A. A. Pinto, D. Rand, and F. Ferreira, Springer Monographs in Mathematics. *Bulletin of the AMS*, S 0273-0979 01284-2 (2010).
17. de Melo, W. and Pinto, A.: Rigidity of C^2 infinitely renormalization for unimodal maps. *Communications of Mathematical Physics*, 208 91-105 (1999).
18. Penner, R. C. and Harer, J. L.: *Combinatorics of Train-Tracks*. Princeton University Press, Princeton, New Jersey (1992).
19. Pinto, A. A.: Hyperbolic and minimal sets, *Proceedings of the 12th International Conference on Difference Equations and Applications*, Lisbon, World Scientific, 1-29 (2007).
20. Pinto, A. A., Almeida, J. P. and Portela, A.: Golden tilings, Transactions of the AMS (to appear).
21. Pinto, A. A. and Rand, D. A.: Renormalisation gives all surface Anosov diffeomorphisms with a smooth invariant measure (submitted).
22. Pinto, A. A. and Rand, D. A.: Train tracks with C^{1+} Self-renormalisable structures. *Journal of Difference Equations and Applications* (to appear).
23. Pinto, A. A. and Rand, D. A.: Train-tracks with C^{1+} self-renormalisable structures. *Journal of Difference Equations and Applications*, 1-18 (2009).
24. Pinto, A. A. and Rand, D. A.: Solenoid functions for hyperbolic sets on surfaces. *Dynamics, Ergodic Theory and Geometry*, Boris Hasselblat (ed.), *MSRI Publications*, 54 145-178 (2007).
25. Pinto, A. A. and Rand, D. A.: Geometric measures for hyperbolic sets on surfaces. *Stony Brook preprint*, 1-59 (2006).
26. Pinto, A. A. and Rand, D. A.: Rigidity of hyperbolic sets on surfaces. *J. London Math. Soc.*, 71 2 481-502 (2004).
27. Pinto, A. A. and Rand, D. A.: Smoothness of holonomies for codimension 1 hyperbolic dynamics. *Bull. London Math. Soc.*, 34 341-352 (2002).
28. Pinto, A. A. and Rand, D. A.: Teichmüller spaces and HR structures for hyperbolic surface dynamics. *Ergod. Th. Dynam. Sys.*, 22 1905-1931 (2002).
29. Pinto, A. A. and Rand, D. A.: Existence, uniqueness and ratio decomposition for Gibbs states via duality. *Ergod. Th. & Dynam. Sys.* 21 533-543 (2001).
30. Pinto, A. A. and Rand, D. A.: Classifying C^{1+} structures on dynamical fractals, 1. The moduli space of solenoid functions for Markov maps on train tracks. *Ergod. Th. & Dynam. Sys.*, 15 697-734 (1995).
31. Pinto, A. A. and Rand, D. A.: Classifying C^{1+} structures on dynamical fractals, 2. Embedded trees. *Ergod. Th. & Dynam. Sys.* 15 969-992 (1995).
32. Pinto, A. A., Rand, D. A. and Ferreira, F.: C^{1+} self-renormalizable structures. Communications of the Laufen Colloquium on Science 2007. A. Ruffing, A. Suhrer, (eds.): *J. Suhrer*, 1-17 (2007).
33. Pinto, A. A., Rand, D. A. and Ferreira, F.: Arc exchange systems and renormalization. *Journal of Difference Equations and Applications*, **16** 4, 347371 (2010).

34. Pinto, A. A. Rand, D. A., Ferreira, F.: *Fine structures of hyperbolic diffeomorphisms*. Springer Monograph in Mathematics, Springer (2009).
35. Pinto, A. A., Rand, D. A. and Ferreira, F.: Hausdorff dimension bounds for smoothness of holonomies for codimension 1 hyperbolic dynamics. *J. Differential Equations*, 243 168-178 (2007).
36. Pinto, A. A., Rand, D. A. and Ferreira, F., Cantor exchange systems and renormalization. *J. Differential Equations*, 243 593-616 (2007).
37. Pinto, A. A. and Sullivan, D.: The circle and the solenoid. Dedicated to Anatole Katok On the Occasion of his 60th Birthday. *DCDS A*, 16 2 463-504 (2006).
38. Rand, D. A.: Global phase space universality, smooth conjugacies and renormalisation, 1. The $C^{1+\alpha}$ case. *Nonlinearity*, 1 181-202 (1988).
39. Schub, M.: *Global Stability of Dynamical Systems*. Springer-Verlag, (1987).
40. Thurston, W.: On the geometry and dynamics of diffeomorphisms of surfaces. *Bull. Amer. Math. Soc.*, 19 417-431 (1988).
41. Veech, W.: Gauss measures for transformations on the space of interval exchange maps. *The Annals of Mathematics, 2nd Ser.* 115 2 201-242 (1982).
42. Williams, R. F.: Expanding attractors. *Publ. I.H.E.S.* 43 169-203 (1974).