

## Übersicht

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# Agents enabling cyber-physical production systems

Softwareagenten zur Realisierung von Cyber-physischen Produktionssystemen

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**Abstract:** In order to be prepared for future challenges facing the industrial production domain, Cyber-Physical Production Systems (CPPS) consisting of intelligent entities which collaborate and exchange information globally are being proclaimed recently as part of Industrie 4.0. In this article the requirements of CPPS and abilities of agents as enabling technology are discussed. The applicability of agents for realizing CPPS is exemplarily shown based on three selected use cases with different requirements regarding real-time and dependability. The paper finally concludes with opportunities and open research issues that need to be faced in order to achieve agent-based CPPSs.

**Keywords:** Cyber-physical production systems, Industrie 4.0, agent, multi-agent systems.

**Zusammenfassung:** Cyber-Physical Production Systems (CPPS) sind intelligente, kooperierende Produktionseinheiten, welche Informationen global austauschen. Die Anwendbarkeit der Agententechnologie zur Realisierung von CPPS'en wird an Hand von drei internationalen Fallstudien für Teile von CPPS gezeigt, um die Vorteile und die zu bearbeitenden Forschungsfragen auch in Bezug auf Echtzeit- und Zuverlässigkeitsanforderungen zu identifizieren.

**Schlüsselwörter:** Cyber-physische Produktionssysteme, Industrie 4.0, Softwareagent, Multi-Agent-Systeme.

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## 1 Introduction

Various roadmaps from Europe and the United States concerning research and developments towards future factory automation [1–3] and Digital Manufacturing and Design Innovation [4] conclude that both information and communication will be key issues for future production systems. Roadmaps envision production systems being able to flexibly adjust their behavior to address changing conditions [5], e.g. business conditions such as changing demand or product portfolio, or technical conditions, e.g. technical faults, and provide novel sophisticated mechanisms, which are enabled by available communication and realized by significantly increased intelligence of computing entities. To apply Cyber-Physical Systems within the production automation domain, various application scenarios have been identified, e.g. production networks [6], maintenance and diagnosis [7, 8], or dynamic reconfiguration [9, 10]. These use cases arise from an exhaustive use of information exchange, coordination and collaboration between Cyber-Physical entities. The application of state of the art information technology in combination with traditional, established engineering processes for vertical and horizontal integration of information, but enlarged by cross facility and company cooperation e.g. along the supply chain is today referred to as Industrie 4.0 in Germany.

Research regarding agent technology was initially conducted within the field of artificial intelligence some decades ago [11, 12]. Agents are typically characterized as communicating, collaborative, intelligent entities applied for distributed problem solving [10]. The application of agent technology within various fields of production automation, e.g. distributed production planning and scheduling as well as process supervision, is being investigated and implemented for many years now [13–16]. In contrast, applying agents for dependable, real-time automation software directly on field level [43] considering hard real-time requirements is a comparatively novel re-

search field in production automation [16, 18, 19]. Agents hence became an adequate means to provide desired flexibility while coping with complex problems efficiently. In addition, agent technology was first introduced for performance monitoring in computer-integrated manufacturing [20].

Accordingly, the efforts towards realizing Industrie 4.0 enabled by Cyber-Physical Systems might be facilitated by adopting agent technology. Therefore, in the subsequent Section 2, characteristics of Cyber-Physical Systems in the production automation domain, *i.e.* Cyber-Physical Production Systems (CPPS) [6, 21] and agent technology are introduced. Some examples of agent technology being successfully applied to typical Industrie 4.0 use cases in the production automation domain are presented in Section 3. These application scenarios are used to identify opportunities and open research issues that need to be solved in order to realize CPPS by applying agent technologies.

## 2 Agents enabling CPPS: state of the art

Within this section, CPPS and their key characteristics enabling Industrie 4.0 are introduced briefly at first. In this paper, CPPS are considered to be equivalent with Industrie 4.0 in the domain of production automation. In the remainder, agents in the domain of production automation are defined and some existing agent technologies, architectures and their key characteristics as well as the challenges and opportunities applying agents in CPPS are discussed. Finally, the similarity between the intensively studied agent technologies and the vision of CPPSs is juxtaposed.

### 2.1 Cyber-physical production systems

Industry 4.0 is based on Cyber-Physical Production Systems (CPPS) which can be based on a5C architecture (connection, conversion, cyber, cognition, and configuration) [22] (Figure 1).

In the “Connection” level, devices can be designed to self-connect and self-sensing for its behavior. In the “Conversion” level, data from self-connected devices and sensors are measuring the features of critical issues with self-aware capabilities, machines can use the self-aware information to self-predict their potential issues. In the “Cyber” level, each machine is creating its own “twin” by using these instrumented features and further characterize

the machine health pattern based on a “Time-Machine” agent. The established “twin” in the cyber space can perform self-compare for peer-to-peer performance for further synthesis. In the “Cognition” level, the outcomes of self-assessment and self-evaluation will be presented to users based on an “infographic” meaning to show the content and context of the potential issues. In the “Configuration” level, the machine or production system can be reconfigured based on the priority and risk criteria to achieve resilient performance [23, 24].

Embedded systems are able to monitor and control physical processes by sensors and actuators. CPS are Embedded Systems, but are networked with each other to utilize globally or locally in another CPS available information sources and services [6]. Accordingly, CPSs combine the vision of intelligent, adaptive control systems with seamless vertical, horizontal and dynamic information exchange between heterogeneous platforms [6].

The traditional way of engineering and operating production systems is based on static information flows within and in between automation software, from automation software to manufacturing operations management. When introducing CPPS, it will be necessary to establish information exchange between heterogeneous components – e.g. field devices, automation devices, MES but also pure information systems. An intensively discussed and applied approach for facilitating information exchange between a priori unknown, heterogeneous components and information sources is the use of terminologies [25] and semantic technologies [5]. Therein, data can be enhanced with semantics using respective ontologies to make contents understandable for both humans and machines. Unfortunately, although quite common in the classical information technology domain, ontologies are only partially built up and therefore not established in the production automation domain [10, 26] despite a strict terminology in the field of Industrie 4.0 is inevitable [27].

**Requirement  $R_{\text{CPPS1}}$ :** In order to apply CPPSs successfully, knowledge regarding their structure e.g. resources like machines with their characteristics, their interfaces to other facilities inside a CPPS, and operations they provide for the manufacturing of products and operation are necessary.

In order to react to changing (external and internal) conditions like unforeseen failures during operation or varying customer demand, CPPSs have to be able to adjust their structure and their behavior [6]. Here, the traditional way of implementing automation software based on assumptions about structure and static behavior of production facilities cannot address this requirement sufficiently any more [10].

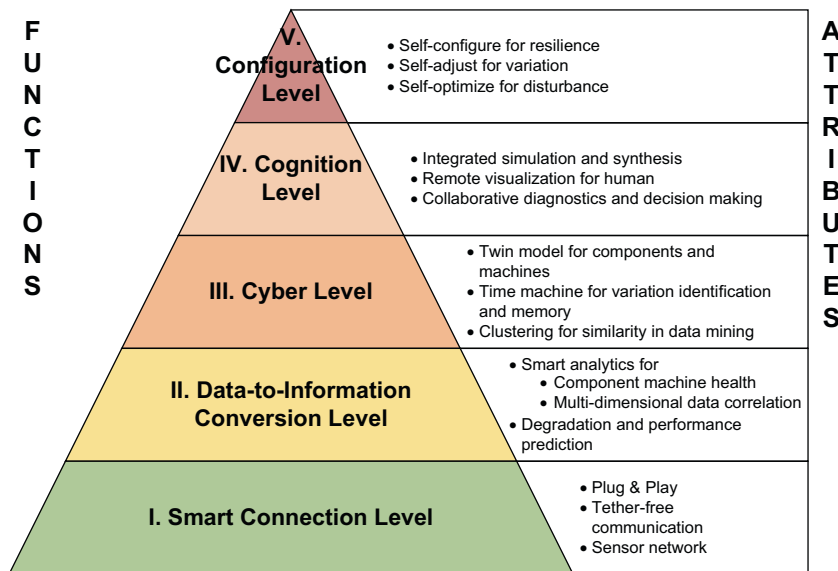


Figure 1: 5C Architecture of CPPS [21].

**Requirement  $R_{CPPS2}$ :** CPPS are able to intelligently behave and flexibly adapt their behavior and structure in order to react to before runtime unknown changing (external and internal) conditions .

CPPSs have to be adjustable to various (external) conditions like the manufacturing of novel products. Here, adjusting solely the behavior will not be sufficient. Therefore, the structure of a CPPS will not be static along its lifecycle and has to be adjusted as required to react best to changing conditions. CPPS may be composed to higher level CPPS. In some works also the term cyber-physical component is used [28]. Nevertheless the structure may be also totally flat, *i.e.* non-hierarchical.

**Requirements  $R_{CPPS3}$ :** The structure of CPPSs needs to be adaptable. Thereby, no assumption about non-hierarchical and/or hierarchical structures of production facilities should be made.

To be sure, these challenges are only an exemplary selection of various different requirements of CPPS. The selection focus on their necessity for argumentation in the remainder of this article. A detailed, exhaustive discussion of the various CPPS characteristics is provided for example in [6, 21, 29].

Despite the fact that the levels of the former automation pyramid are no longer valid, there are still different requirements regarding dependability and real-time to be fulfilled for field and control tasks on the one hand and MES including recipe scheduling for new orders on the other hand. To achieve dependability and real-time specific automation platforms *e.g.* PLCs are implemented with cyclic scheduling to meet also the maintainability on a level of technicians.

**Requirements  $R_{CPPS4}$ :** Automation for CPPSs needs to fulfill real-time and dependability requirements on specific platforms to be maintainable for maintenance personnel.

## 2.2 Multi-agent systems and agent technology

Multi-agent systems (MAS) [30, 31] is a computational paradigm introduced in the distributed artificial intelligence field, characterized by the decentralization and parallel execution of activities based on autonomous agents. MAS solutions replace the centralized control by a distributed functioning where the interactions among agents lead to the emergence of “intelligent” global behavior, being able to react and adapt to condition changes without external intervention [30]. The decentralization of control functions over distributed autonomous and cooperative agents facilitates modularity, autonomy, flexibility, robustness and adaptability.

**Ability  $A_{MAS1}$ :** Multiple agents can form a distributed, decentralized system referred to as multi-agent system. The basic class of MAS is non-hierarchical, but in different applications also hierarchical MAS are used *e.g.* a coordination agent to realize decisions in real-time [32] or a supervision agent (see *myJoghurt* application [6]).

An *agent* can be defined as an “autonomous component that represents physical or logical objects in the system, capable to act in order to achieve its goals, and being able to interact with other agents, when it does not possess knowledge and skills to reach alone its objectives” [13]. Moreover, an agent can sense its environment and make

decisions according to its internal behavior, knowledge and objectives. Aiming to address the emergent challenges of self-organization and responsiveness, besides the basic properties, *i.e.* autonomy, intelligence and cooperation, an agent is required to provide a set of self-X properties (X is a placeholder for “one or more desirable properties of a system subjected to a variable operation condition” [33]). The application of self-X properties in production automation has been intensively discussed over the last years [34, 35]. A prerequisite to realize self-X properties is the agents’ self-awareness.

**Ability  $A_{MAS2}$ :** An agent is characterized by intelligent behavior and self-awareness by applying knowledge about its skills and the environment (depending on the agent’s architecture).

Agents can be understood as self-aware, intelligent building blocks ( $A_{MAS2}$ ). Similarly, CPPS are demanded to be flexibly adaptable and intelligent ( $R_{CPPS2}$ ). Agents realize their intelligent behavior by means of internal knowledge about their skills and capabilities ( $A_{MAS2}$ ). Thus, key requirements for CPPS, namely  $R_{CPPS1}$  and  $R_{CPPS2}$  can be realized by means of agents due to their inherent abilities ( $A_{MAS2}$ ). In production automation, a system consists not solely of software but also of a physical part consisting of *e.g.* mechanical and electrical aspects.

Multi-agent systems are often applied for distributed production planning [36]. For example, the approach presented in [37] combines production planning with a flexible control of production resources by means of agents. Therein, a resource agent controls a production resource deductively. An agent in context of production automation can be seen as a defined entity which is intended to reach its objectives independently but cooperatively while interacting with its environment including other agents [19, 38].

The aggregation of the informational part (*i.e.* the agent) and the physical hardware part (*e.g.* a robot, a machine) in production automation may be defined as a holon. A holon, as Koestler devised the term, is an identifiable part of a (manufacturing) system that has a unique identity, yet is made up of sub-ordinate parts and in turn is part of a larger whole [39].

Typically holons consist of an informational and a physical part and on higher levels a holon defines recursively a set of holons which perfectly matches with the requirement that automation production comprises software and hardware components.

The essence of the holonic approach is the capability to decompose a complex problem into stable intermediate sub-problems, using hierarchy structures. PROSA [40]

and ADACOR [41] are two examples of architectures that explore the holonic principles.

**Ability  $A_{MAS3}$ :** The holonic paradigm facilitates the recursive structuring: a holon can be a self-contained whole to its subordinated parts and simultaneously a dependent part when seen from higher levels (*i.e.* a single agent as well as a whole MAS).

As identified in  $R_{CPPS3}$ , CPPS are required to be flexibly structurable without assumption about an underlying logical structure. Agents are characterized as a distributed system ( $A_{MAS1}$ ) with heterarchical structures ( $A_{MAS1}$ ). Therefore, agents provide sufficient means to realize the desired CPPS requirement  $R_{CPPS3}$ .

In [42, 43] a multi-agent system following this definition is presented which enables dependable production in presence of sensor failures. Agents are dedicated to physical equipment, *e.g.* machine parts, to be controlled [43]. Agents exchange their knowledge about related sensor data in real-time to calculate virtual sensors in case of sensor degradation or faults, to increase machine availability by operating with the virtual sensor with lower precision instead. Thus automation agents are closely related to the physical layer of a plant.

To fulfill real-time and dependability requirements as well as maintainability ( $R_{CPPS4}$ ), additional measures need to be taken, *e.g.* assure communication between agents which fulfills real-time requirements and avoid agents’ actions with unknown results. The agent paradigm with negotiations in between agents as well as learning and acting on the agent’s knowledge is restricted to safe actions [17, 42]. The implementation on Programmable Logic Controllers (PLCs) is also discussed in Section 3.

**Ability  $A_{MAS4}$ :** Agents representing physical devices and their functions at the field level need to fulfill real-time and dependability requirements by limiting their actions to the action space. They are implemented on specific platforms to achieve maintainability and real-time behavior in ms.

In a nutshell, all requirements of CPPSs described in detail in Section 2.1 can be realized by existing agent technologies as presented in Section 2.2 due to their inherent characteristics.

Besides agents, the service-oriented architecture is explicitly proposed in the context of the efforts towards Industrie 4.0 [44] as well as CPPS [45]. In the production automation domain, first investigations of the service-oriented paradigm already exist [46]. The service-oriented architecture and agent technologies can be seen as complementary approaches with different possible ways to combine them [47]. An exemplary combination of both,



the service-oriented paradigm and agent technology will be presented in Section 3.3.1.

### 3 Applying agent technology for realizing cyber-physical production systems

As identified in the previous section, the inherent characteristics of agent technologies can provide sufficient means to realize CPPS. A variety of agent approaches in industrial automation already exist (see [14, 16, 48] for surveys). According to the survey of Leitão et al. [16] “There are areas where agents have been successfully deployed and other areas where they have not broken through. The former one is the production planning, scheduling, and logistics where agents bring measurable benefits in terms of better resource utilization, shorter delivery times, fuel savings, etc.” [...] “The latter one is the factory automation, where agent-based systems are still deployed only in laboratorial environments or as industrial prototypes. There are still barriers, either technology- or human factor-related, that obstruct the adoption of these novel paradigms at large scale. Interestingly, both the industrial agents community and the community around service-oriented automation, have identified identical issues. The major technological roadblock is the inability of the new technology to respect contemporary industrial requirements for real-time capabilities, robustness, availability of mature engineering tools, safety, and standardization.” Leitão et al. [16] also mentioned the convergence of agent-based and service-oriented architectures as a future trend as well as agent learning.

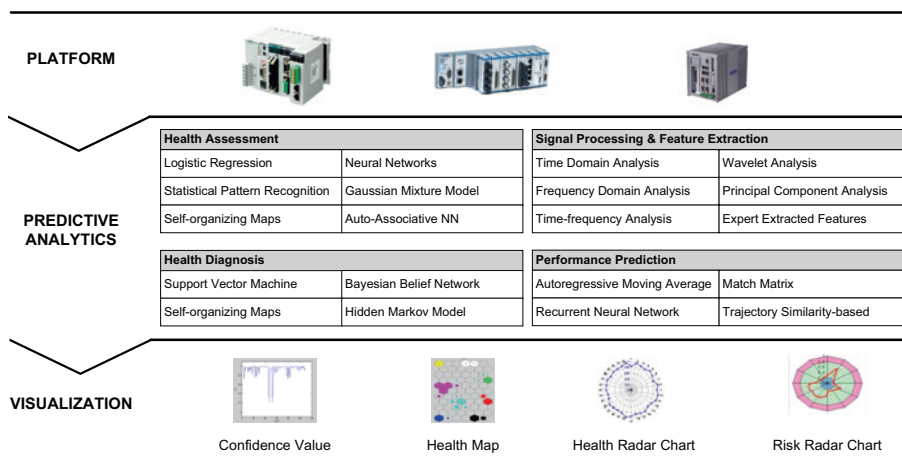
Within this section, three applications of agent technology are described in detail in context of CPPS to demon-

strate this hypothesis in a practical manner. The first and the second scenario belong to the area of production optimization and quality control, whereas the third scenario targets also agent learning and real-time reconfiguration on industrial PLCs using IEC 61131-3 supported by an engineering approach [49].

#### 3.1 The Watchdog Agent®: intelligent data analytics for self-aware machine and predictive maintenance

For providing more transparency to manufacturing assets’ capabilities and finally improve e.g. up time and production quality, predictive health management arises due to novel options provided by CPPS like global data exchange [50, 51]. In this context, the Watchdog Agent® was developed [52], which consists of different analytics methodologies to assess degradation process of machine and components, e.g. sensors. An overview of the Watchdog Agent® concept is depicted in Figure 2. It is able to predict machine health degradation with self-aware intelligence, and therefore prevent potential issues or failure.

Towards achieving its goal, the Watchdog Agent® is collecting and integrating a huge amount of data of various production facilities and different platforms [53]. For predictive analytics, a toolbox of well-known methodologies for processing, prediction and forecasting [52] was implemented (cp. Figure 2). Based on its knowledge about the process and pieces of equipment to be monitored, the agent is aware of the current situation which facilitates to adjust available tools for predictive analytics dynamically. Furthermore, the agent is able to memorize observed situations and, by that, is able to identify situations that were never observed before. Thus, the Watchdog Agent®



**Figure 2:** Internal Architecture of the Watchdog Agent® with available tools for predictive analytics and visualization.

exhibits typical elements of agent characteristics for intelligent, self-aware behavior (cp.  $A_{MAS2}$ ). The different kind of knowledge models used by analytics tools are applied to realize an intelligent, predictive behavior ( $R_{CPPS2}$ ) of the Watchdog Agent®. Thus, the Watchdog Agent® is realizing a typical CPPS scenario by means of agent techniques. Real-time requirements depend on the application of the watchdog agents ( $R_{CPPS4}$ ), but the algorithm are not applied on the field control level.

### 3.2 Multi-agent system integrating process and quality control

Ensuring constant quality of products is a very important but often time-consuming effort in industrial automation. In case of a high variety of products the complexity of quality control increases. Therefore, the main objective of the EU FP7 GRACE project (InteGration of pRocess and quAlity Control using multi-agEnt technology) [54] was to implement a flexible, distributed system which integrates process monitoring and quality control. In order to achieve this goal, a modular, intelligent, collaborative and distributed control system was developed, using the MAS principles and operating in an industrial factory plant producing laundry washing machines. The installed solution contributes for the maximization of the factory profitability by applying self-adaptation procedures at local and global levels to face unexpected condition changes.

Having this in mind, an ecosystem of autonomous agents representing the manufacturing components disposed along a production line was considered, grouped in the following types of agents according to their similarities (see [55] for more details): Product Type Agent (PTA), Product Agents (PA), Machine Agents (MA) and Independent Meta Agents (IMA). PTAs represent the catalogue of products that can be produced by the production line, i.e. the washing machine models, possessing the knowledge related to the product model and process plan. Product agents handle the production of product instances along the production line, each PA managing the on-line production of one product washing machine. Machine agents represent the physical resources disposed along the production line, such as robots, quality control stations and operators. IMAs implement global supervisory control to such distributed structure, e.g. optimizing and adapting global policies for the system.

The global system objectives emerge from the cooperation among individual agents, each one contributing with its local behavior, as illustrated in Figure 3. In such distributed systems, the use of ontologies is crucial as already mentioned in 2.1 to establish a common understanding among the agents by defining the vocabulary and the semantics of the shared knowledge. This conforms to the typical characteristics  $A_{MAS1}$  and  $A_{MAS2}$  of agents as well as the desired requirements of CPPS  $R_{CPPS1}$ . For this purpose, an ontology was designed and implemented considering the particularities of the home appliance domain and the integration of process and quality control levels.

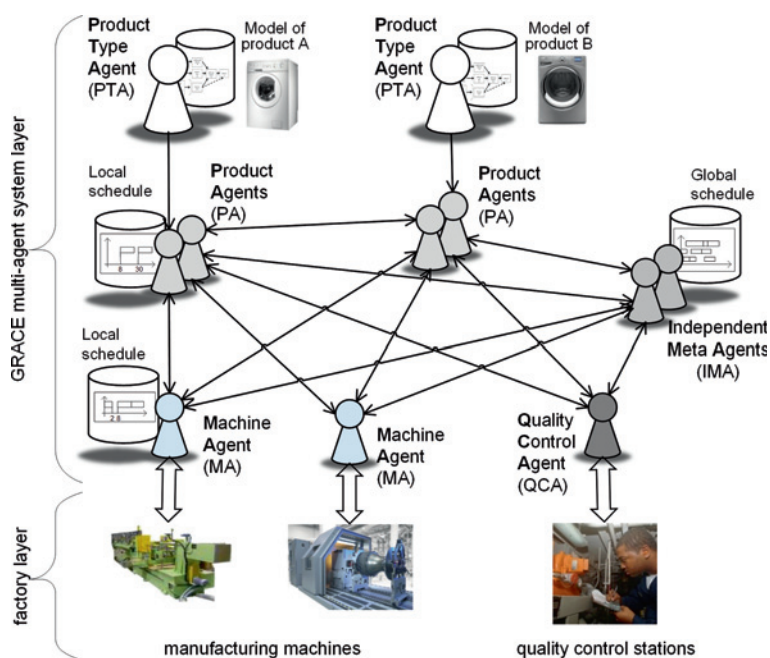


Figure 3: GRACE multi-agent system architecture according to [55].

The interaction patterns aiming at the integration of process and quality control for the implementation of self-adaptation procedures based on feedback control loops are of special relevance in this work. Examples of such designed procedures are the dynamic adaptation of the functional test plan, the customization of the on-board controller parameters, the analysis of the evolution of the quality indexes at a specific station and the on-line adaptation of the process plans for each washing machine model. Accordingly, characteristics of self-aware and adaptable behavior of agents (ability  $A_{MAS2}$ ) realize the CPPS requirement  $R_{CPPS2}$ ).

An example of application of the self-adaptation procedures is the customization of the functional test plan, which is performed at the Functional Test Area located near the end of the production line and managed by the Quality Control Agent (QCA). Currently, this operation lasts 6 minutes and comprises a fixed plan, even if some tests may be redundant according to the results gathered from previous inspection tests. PA agents are continuously collecting information about the execution of process operations (e.g. bearing insertion or welding operations) and testing operations (e.g. control gap or assembly visual check) by interacting with MA agents associated to these process or quality control stations. When the product arrives to the functional test area, the PA agent correlates the gathered information to adapt the sequence of the tests defined in the plan by removing unnecessary tests, adjusting others or customizing the messages provided to the operators. The implementation of this self-adaptation mechanism allows reducing the inspection time by approximately 20% and also improving the product quality by executing more efficient and accurate inspection tests.

The GRACE multi-agent system infrastructure was implemented by using the JADE (Java Agent DEvelopment Framework) framework [56]. The deployed multi-agent system infrastructure was intensively tested in the factory plant and the achieved results showed an increase of the production and energy efficiency, an increase of the product quality, as well as a reduction of the production downtimes, the scrap costs and non-conformities. The adaptation is performed at local and global levels, with different real-time granularity levels. In fact, the adaptation performed by RA and PA agents are mainly related to soft real-time but IMA agents are performing self-optimization procedures in background without real-time constraints.

### 3.3 Dynamic reconfiguration for flexible, self-healing production

For evaluating novel approaches in the field of CPPS, the demonstrator *myJoghurt* was developed [6] which consists of several loosely coupled production plants forming a CPPS network. All participants within the CPPS network are represented by agents: for instance, *customer agents* are responsible for the representation of a customer's demands and *plant agents* represent single plants. The demonstrator is named after its exemplary, primary good to be produced: mass customized yoghurt. Various demonstration scenarios can be exhibited in *myJoghurt*: Two of them will be used as application example in the remainder of this subsection.

#### 3.3.1 A multi-agent system for mass customized production

In a first application, mass customized yoghurt production is considered. After an order has been created by a customer, the order is passed to the CPPS network. Subsequently, the CPPS network needs to identify the resource being involved and subsequently needs to plan the production process. Within an open CPPS network, companies typically do not want to share all information about production capacity and capability. Therefore, a distributed coordination approach that enables an automated planning of the production process which is currently under development.

Independent of the concrete coordination mechanisms, an information model is needed which enables an agent identifying whether certain process steps can be realized by its associated plant or plant component. Within the *myJoghurt* demonstrator, for instance *plant agents represent the individual CPPS* and must be able to identify, whether their functionalities are sufficient to provide a certain topping configuration and whether a specific required process step, e.g. bottling, can be fulfilled by the associated physical component.

In the field of service-oriented manufacturing systems [46], this challenge, namely matching services required by a customer's order with services and possible service orchestrations that hence fulfill a technical process to be realized, is referred to as Manufacturing Service Matching Problem (MSMP) [57]. To perform the MSMP using existing approaches, models that describe all technical processes realized by a plant have to be defined.

In [58] and [6], a knowledge-based approach benefits from a major assumption: Instead of describing all possi-

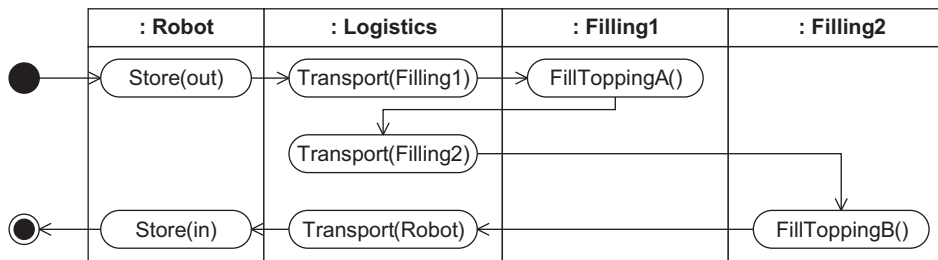


Figure 4: Exemplary operation strategy for yoghurt with topping A and topping B.

ble technical processes to be realized, it is distinguished between “what the CPPS is required to do” – i.e. the product to be produced and the technical process to be realized (customer’s order provided by the *customer agent*) – and “what the CPPS is able to do” – i.e. the functionalities a physical system provides (services of a *plant agent*). Using the provided functionalities, the overall state space of the CPPS can be computed automatically and, by that, it can be derived whether a certain technical process can be realized. Moreover, an adequate control software’s configuration that is able to perform the desired process, namely an operation strategy, can be generated (cf. Figure 4).

The operation strategy is passed to the *supervision agent* which in turn configures the *execution agents* responsible to execute the *plant agents’* services, cp. Figure 5. Hence, such *execution agents* can be seen as orchestration engines that compose field-level automation services provided by *plant agents* to produce a certain product as negotiated within the CPPS network.

As exemplary depicted in Figure 5 for the logistics agent, these agents might be in turn a set of agents in a holonic way (e.g. a set of *conveyor agents* and *switch agents* realize the *logistics agent*). The approach can therefore be used within the *myJoghurt* CPPS application to determine an operation strategy that enables the production of yoghurt according to an arbitrary order initiated by a customer. As an alternative structure in agent@PLC by Wannagat [43], Schütz [49] and Ulewicz [59] (Figure 6) the CPPS plant agent is composed of a system agent knowing the actual plants structure, the communication agent, responsible for messaging between CPPS, a process agent containing the production process and necessary sub-steps, the whiteboard with the jobs and its’ states to be fulfilled as well as the automation control agent, representing the physical part of the plant and scheduling the necessary sub-steps for the process agent.

In Summary, a multi agent system is applied here to successfully realize a typical CPPS scenario with flexible intelligent behavior adoption due to external conditions (customer request) according to CPPS key requirement  $R_{CPPS2}$ . The realization is based upon knowledge about a physical plant’s operations (cp. agents’ ability  $A_{MAS1}$ ).

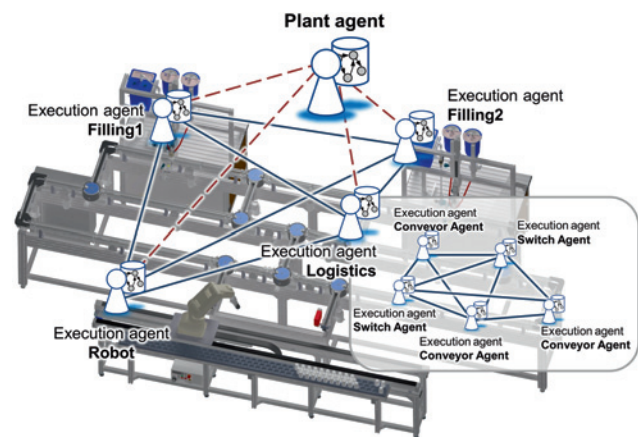


Figure 5: Overview on the proposed multi-agent system architecture for the *myJoghurt* CPPS (solid lines indicate real-time communication; dashed lines non-real-time communication).

The flexible adaptation of the automation systems behavior to produce a mass customized good is achieved by means of a (holonic) multi agent system according to the characteristics of  $A_{MAS3}$ . Even if real-time is not an issue in most of the cases in this use case, the agent on PLC was implemented with a cycle time of 4 ms for cap engraving (CoDeSys Soft PLC as well as Beckhoff CX)<sup>1</sup> and fulfills  $A_{MAS4}$  for many applications.

### 3.3.2 Agent-based dynamic alarm management

When operating a plant, typically more notifications, i.e. warnings, and alarms, are generated by production facilities than can be physically perceived and addressed by human operators. These floods of notifications result from causally related notifications (i.e. dependent notification sequences) which are triggered mostly by a single disturbance. This hinders human personal to quickly perceive critical situations, i.e. situations resulting in eco-

<sup>1</sup> <http://de.codesys.com/das-system.html>; <http://www.beckhoff.de/default.asp?twincat/twincat-3.htm>



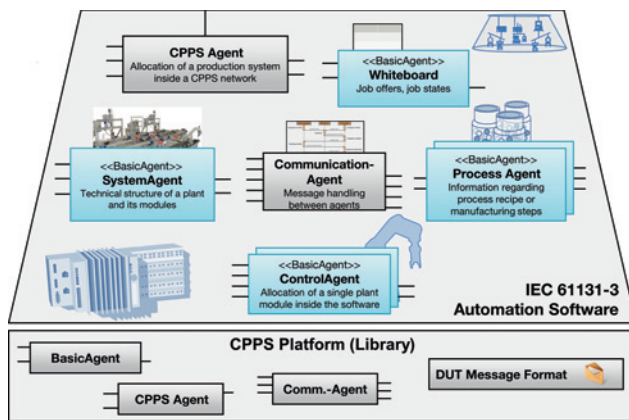


Figure 6: Structure of the IEC 61131-3 agent@PLC.

nomic, material or personal damage, which are indicated by sequences of notifications. To make things worse, more than 50% of identified notification sequences are caused by misconfigurations of the alarm management system [8], because dependencies between notifications are difficult to be recognized by the application engineer during engineering. Flexible production systems leverage this challenge to foresee operational dependencies correctly during engineering. For example, when producing yoghurt within the *myJoghurt* demonstrator, analysis identified that notification sequences depend on the different cream stage to be produced because the varying viscosity of the yoghurt change timing parameters within the process engineering part. Accordingly, in CPPS systems, where recipes can be flexibly changed during operation, a dynamic, intelligent alarm management system

is required. To tackle this challenge, an agent-based approach extending the traditional alarm management system's functionality was investigated in order to reduce the floods of notifications significantly (concept see Figure 7).

The alarm management agent filters and aggregates notifications. Based on a finite automaton [60] forming the agent's knowledge base, the discrete notification streams of the operating plant are analyzed; filters and classifiers are used to further reduce number of relevant notification sequences. Finally, solely critical situations, *i.e.* critical notification sequences, are visualized to the operator which facilitates him to react to alarms quickly by reducing the number of notifications and consequently the management effort significantly. Here, the critical component is the agent's knowledge base: the agent has to visualize all critical situations dependably while filtering and aggregating the notifications restrictively. Therefore, a human in the loop machine learning approach was chosen which enables to automatically detect notification sequences while being supervised by humans. Historical alarm logs are used for identifying significant notification sequences based on statistical pattern recognition techniques to suppress redundant notifications and visualize the critical situations [61]. Typically, machine learning approaches are challenged by statistically non-perfect learning data. In order to avoid misconfigurations of the alarm agent *e.g.* by too restrictive notification sequences, automatically identified notification sequences are verified/falsified by interacting with the plant's operator. As identified in [8], applying machine learning to historical alarm data would result in various notification sequences

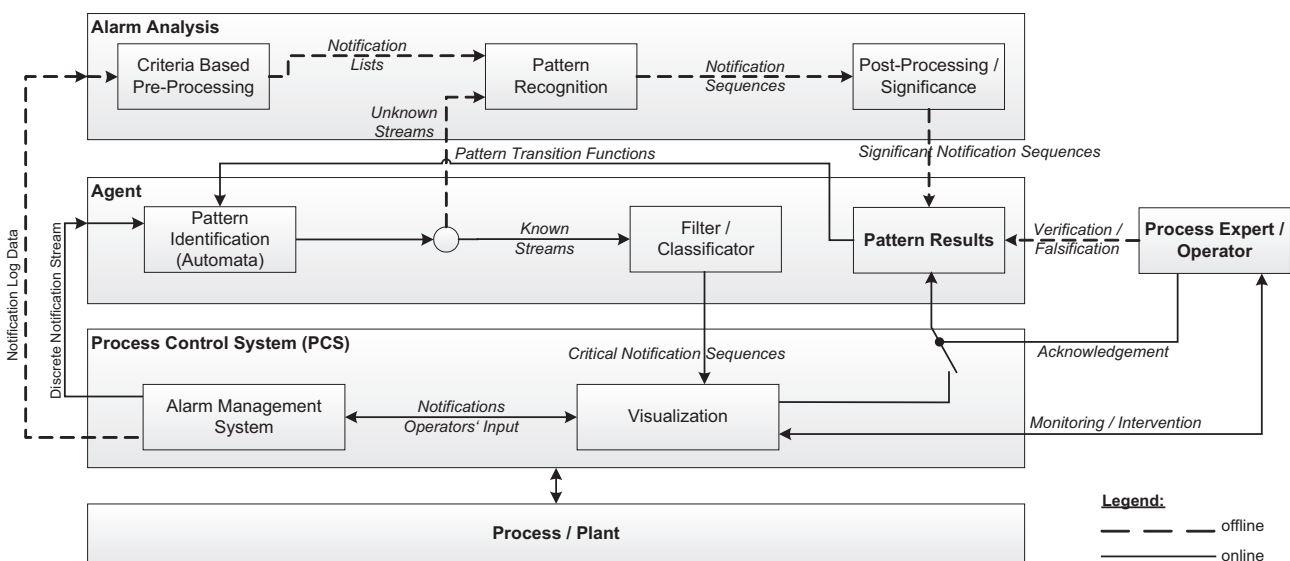


Figure 7: Overview of the building blocks for intelligent, dynamic alarm management using agent oriented pattern identification.

which are statistically correct but logically nonsense. In order to reduce the operators' effort, criteria based pre-processing grounded on background knowledge like reference designation and plant documentation is applied. Additionally, post-processing based on significance tests is applied to further reduce the number of patterns to be revised by the operator. When identifying unknown sequences of notifications during operation, the pattern recognition is applied online to dynamically extend the knowledge base. This enables handling dynamically varying notification data based on different recipes to be produced as typical within the CPPS *myJoghurt* demonstrator.

In a nutshell, applying a knowledge base (cp. requirement  $R_{CPPS2}$  and  $A_{MAS2}$ ) to realize intelligent behavior and learning, facilitates dynamically adjusting the alarm management in real-time during runtime and finally increases the dependability of CPPS. The real-time capabilities of the algorithm implemented in Matlab/Simulink on a PC-based PLC are still being evaluated.

## 4 Challenges towards a multi-agent architecture for cyber-physical production systems

In this article, a discussion of CPPSs' requirements and inherent abilities of agent technologies were provided. Whereas CPPSs are a concept of intelligent, globally connected and information exchanging production systems, agents can be seen as the enabling technology due to their inherent abilities which are required for realizing CPPSs. Research on agent technologies have been conducted for years. Various applications of agent technologies for non-real-time applications exist like predictive maintenance (cp. the exemplary application described in Section 3.1) or machine supervision (cp. Section 3.2). In contrast, for applying agent technology in hard real-time environments to increase availability promising approaches exist (e.g. the applications presented within Section 3.3).

Besides rising standards for connection of the plant level to the Cloud as OPC/UA interfaces (Object Linking and Embedding (OLE) for Process Control/Unified Architecture) for future Industrie 4.0 systems also migration concepts for existing plants are inevitable due to the long operation of plant between 20 and 50 years [62]. Therefore converting entities are required to enable such existing plants to act in Industrie 4.0 compliant systems or CPPS networks like the *myJoghurt* demonstrator. Herein, agents can mediate between OPC/UA or others and classical automation structure and networks like Profibus and

other fieldbus systems by acting as wrapper of legacy systems. For that matter, the agents provide the necessary and accessible information of the plant, providing a data exchange platform and access rights to data agents allow to bridge the gap until Industrie 4.0 standards are available and to adapt to changing standards.

In this contribution, the application of agents for providing intelligent behavior of CPPS during operation was focused. But additionally, CPPS with typical characteristics of intelligent behavior based on desired knowledge challenges the engineering of CPPS [28]. Firstly, intelligent behavior has to be considered during design. Secondly, required knowledge which can be used during operation has to be defined sufficiently during engineering. An exemplary application which combines aspects of engineering and operating by means of machine learning was introduced in Section 3.3, but there is still a lack in the knowledge representation for more complex systems executable during runtime as well as learning algorithm executable under real-time constraints both, PLC or PC-based field level automation devices. Also an integrated modeling approach for CPPS interacting with each other is missing.

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